

Manual-Type and Joint Directives

Presented By:

DNS-15



Authorities

OPNAVINST 5215.17 – Navy Directives Issuance System



MANUAL-TYPE DIRECTIVES



Definition

Manual-type directives:

An instruction or notice whose size and content is best suited to a format used for manuals consisting of parts, chapters, or sections.

Consists of:

- Cover (Required)
- Cover Letter (Required)
- Table of Contents (Required)
- Body (Required)
- Appendices (Optional)
- Index (Optional)
- Bibliography (Optional)



Cover

There is no specific format, but it must include the following:

- The short title of the issuing authority, along with "INST" for instruction or "NOTE" for notice
- The SSIC followed by the consecutive number
- The alphabetic suffix if a revision
- The date of publication
 - The above four normally included in the header
- The subject of the directive (recommend centered on page and in ALL CAPS)

PLEASE NOTE: the cover page does not include a page number



Cover Example

SSIC followed by consecutive number

Short title of issuing authority, with "INST" for instruction

The subject of the directive

No specific format.
Shown format is
merely
recommended

13 May 2014

NAVAL AVIATION
SAFETY
MANAGEMENT
SYSTEM

The alphabetic suffix, when applicable

Date of issuance

No page number



Cover Letter

- Shows by what authority the manual is being issued
- Must follow the format for letter-type instructions/notices
- Should not exceed one page
- When there are a significant number of references, list them in the first appendix (e.g., appendix A) vice the cover letter
- If more than one page, the "Purpose" paragraph must begin on page 1 of the cover letter
- The references in manual-type directives do not need to be cited first in the cover letter. However, they do need to be cited within the body in the order in which they are listed in the reference section and vice versa (listed in the reference section in the order in which they are cited in the body).

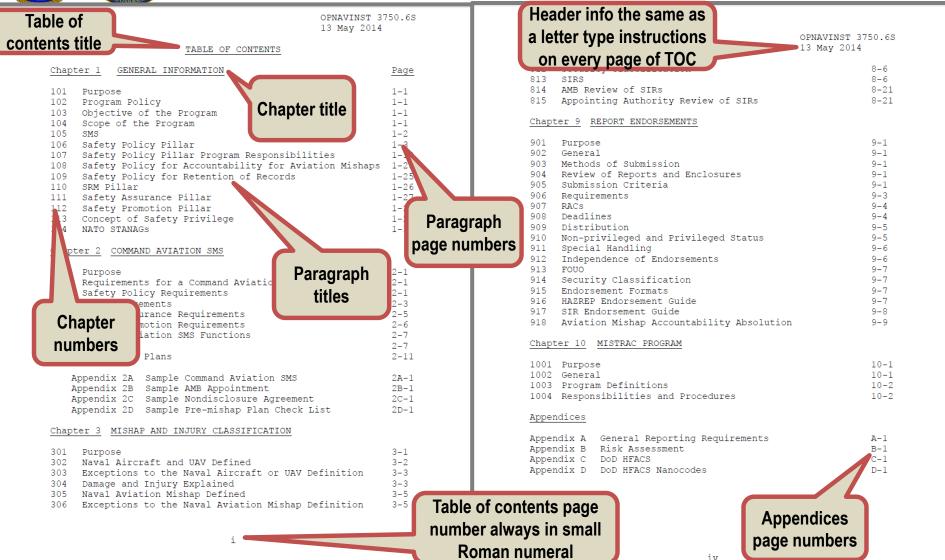


Table of Contents

- Follows the cover letter
- Required in all manual-type directives
- Page number starts with a small Roman numeral "i" (page 1 of TOCs are numbered). Consecutive pages are numbered as: "ii," "iii," "iv," and so forth, centered in the footer
- There is no specific format



Table of Contents Example





Body

- Follows the table of contents and it is <u>NOT</u> an enclosure
- Unlike Navy letters, ALL pages, including the first, are numbered
- Use Arabic numerals for page numbers
- The pages may be numbered consecutively or if the directive is divided into parts or chapters, the numbering should indicate the part or chapter number with the first page starting with an odd number "1" (e.g.,1-1, 1-2, 1-3; 2-1, 2-2, etc.). Page numbers are centered in the footer of each page.
- The font in Navy manual-type directives is Courier New, size 12; the only exception is the font size for charts, tables, graphs, etc.
- The same format, outline and general page margins of letter-type directives shall be followed (i.e., 1" header, top, right, left and bottom margins with .5" footer margins) as closely as possible





Chapter titles; always underlined

Paragraph title

The first page should start with Arabic odd numbers

Header – 1" margin

rginopnavinst ·3750.6s¶

CHAPTER ·1¶ GENERAL ·INFORMATION¶

··P· se. ··This insti

P •

102 : Program Policy. The purpose of the Naval Aviation SMS is to enhance operational readiness by preserving lives, preventing injury, and protecting equipment and material. The Naval Aviation SMS supports every aspect of maval aviation. Safety practices leverage combat readiness. Fleet personnel will discover maval aviation SMS knowledge and practice may be extended into other areas of Department of the Navy (DON) personnel life. The Naval Aviation SMS may, therefore, yield benefits and preserve resources far beyond its intended scope.

• 103. · Objective of the 'Program. · The 'Naval 'Aviation 'SMS 'succeeds' by 'preventing 'damage 'and injury. · Potential 'causes of 'damage 'and injury are 'termed 'hazards. · The 'goal of the 'Naval 'Aviation 'SMS' is 'to 'maximize 'mission 'effectiveness 'through the 'elimination or 'control of 'hazards, 'thus 'managing 'risk 'to 'an 'acceptable 'level and thereby 'preventing 'mishaps. ¶

• q

•104. · ·Scope · of · the · Program¶

- m

····a.··The Naval Aviation SMS encompasses all activities which detect, contain, or eliminate hazards in naval aviation. These activities include:

 \mathbf{P}

.....(1) 'Manned 'aircraft 'and 'UAV 'and 'UAS 'design, 'research, 'lopment, 'test, 'evaluation, 'procurement, 'modification, 'manned' 'servicing, 'and 'operations. ¶

General page margins: 1" for top, bottom, left and right margins

The format, outline and spacing shall be the same as letter-type directives

Footer - 0.5" margin



Appendices

- Contain material which supports, but is not readily incorporated into, the text of a directive
- May include such things as list of references, diagrams, photographs, samples, exhibits, or illustrations
- Attach appendices at the end of the text/the body, but before all other back sections, such as a list of footnotes, a glossary, bibliography, or an index
- Reference sequentially within the text/the body, and list them in the same order in the table of contents



Titling of Appendices

- Designate appendices by the term "APPENDIX" in capital letters and followed by a capital alphabetic character with "A" for the first appendix, "B" for the second, and so on
- Each appendix must have a capitalized descriptive title following the appendix designation
- Center and underline the title either at the top of the first page of the appendix, or on a preceding title page, for example :

APPENDIX B CIVILIAN MODELS OF ADDRESS



Page Numbering of Appendices

- Individually number pages with a capital alphabetic designator, a hyphen, and an Arabic numeral, e.g., B-2, B-3, etc.
- Page numbers are centered in the footer of each page of the respective appendix

PA·XIGNAGGA GENERAL 'REPORTING 'REQUIREMENTS¶

Section Break (Next Page)

TYPE¶	SEVERITY		60 ·	4 ·HOURS¤	24 'HOURS¤	30 ·DAYS¤	n
REPORT			MINUTES				
P	P		n	n	P	n	r
P	SEVERE				WAMHRS¶		ı
P	i				HAZREP¤		
HAZREP9	P		n	n	n	P	n
P	ROUTINE					WAMHRS¶	
P						HAZREP≖	
n							
P	P		P	P	P	n	D
P	Α¤		TELEPHONE	_	P		ı
P			REPORT¶	PLAITINI	P		ı
P			POT	NOTIFI-	PDATED¶		ı
P			SAFECEN¶	CATION≖	MDR¶		ı
REPORT		1_	n		IF.		ı
OR ·¶	9		п	WAMHRS¶	REQUIRED≖		2
MDR¶	7/			PLAITINI			
P				NOTIFI-			ı
P	/	<u> </u>		CATION			
n		_	п	n	WAMHRS.		D
	9	.D¤			INITIAL.		ı
					NOTIFI-		ı
					CATION¶		ı
					(OPTIONAL		ı
					CLASS ·D) =		
P	P		n	n	n	P	D
P.	Az	α				WAMHRS¶	
P						SIR¶	
SIR¶	P		n	n	¤	P (MATE OF	D
P	B¤					PLIAM)	
n	-					ENCLOSURES .	
	P		n	n	n	THAT · CANNOT · BE ·	O
		D¤				UPLOADED) ¤	

Contains material which supports but is not readily incorporated into the text

Appendix header - 1" margin

APPENDIX ·B¶ RISK · ASSESSMENT¶

OPNAVINST · 3750.6S¶

13 'May '2014¶

1. ··Risk assessment is the process of determining the level of risk associated with hazards that have been identified. A risk assessment matrix is used to obtain a measure of the level of risk in terms of severity and probability, expressed as a RAC. ... Although risk matrices vary in the number and exact definition of 'categories, 'the 'basic 'concept 'of 'measuring 'degree 'of 'severity' and probability remains the same. ¶

····a. ··Hazard ·Severity. ··An ·assessment ·of ·the ·worst ·credible · consequence, 'defined'by 'degree' of 'injury, 'occupational'illness,' property damage, closs of assets (time, money, personnel) or impact on mission, which could occur as a result of a deficiency. 'Hazard'severity categories are assigned Roman' numerals according to the following criteria: ¶

·····(1) ·Category ·I. ··The ·hazard ·may ·cause ·death ·or ·loss ·of ·a · facility or asset (i.e., class A level damage). ¶

·····(2) 'Category 'II. 'This 'hazard 'may 'cause 'severe 'injury, ' severe occupational illness, significant property damage, or severe 'degradation 'to 'the 'efficient 'use 'of 'assets' (i.e., 'class'B' level 'damage). ¶

·····(3) ·Category ·III. ··This ·hazard may ·cause minor ·injury, · minor occupational illness, minor property damage, or minor degradation to the efficient use of assets (i.e., class C level) damage).¶

·····(4) ·Category ·IV. · ·This ·hazard ·would ·not ·significantly · affect 'personnel 'safety 'or 'health, 'property, 'or 'efficient 'use 'of ' assets, 'but 'is 'nevertheless 'in 'violation 'of 'an 'established' regulation or standard. ¶

Appendix page number

Mishap Probability. The mishap probability is the ity that the hazard will result in a mishap of the assigned, based on an assessment of such factors as 'exposure 'in 'terms 'of 'cycles 'or 'hours 'of 'operation, ' ·populations · (throughout · the · Navv · and · Marine · Corps) , · experience, or previously established statistical information.

Mishap 'probability 'is 'assigned 'a 'letter 'value 'according 'to 'the ' following criteria: 9

Appendix footer - 0.5" margin



Index and Bibliography

Index

Include an index in lengthy manuals to assist users. Follow the same numbering pattern used in the body. The index should be page numbered as "Index-1," "Index-2," etc., similar to an appendix, and located towards the back of the instruction.

Bibliography

Used rarely (only if referencing a book or pamphlet, etc.). Recommend that bibliographies are an appendix.



Navy Joint Directives



Definition

Joint Directive:

A directive issued jointly by two or more authorities.

• Types:

Intra-Navy: Issued by two or more components of the Navy (must be components of the same echelon level)

Inter-Service: Issued by the Navy and one more of the other Military Services



Intra-Navy Directives

There are two ways to identify an Intra-Navy Directive:

1) Assignment of separate, complete directive identification for each participating organizational component (as done for joint inter-Service directives). Each of the separate directive identification numbers is shown on a different line as the designation abbreviation.

Designation Line



NAVSEA ·INSTRUCTION ·9410.2A¶
NAVAIR ·INSTRUCTION ·5230.20A¶
SPAWAR ·INSTRUCTION ·5234.1A ·¶

Identification Line

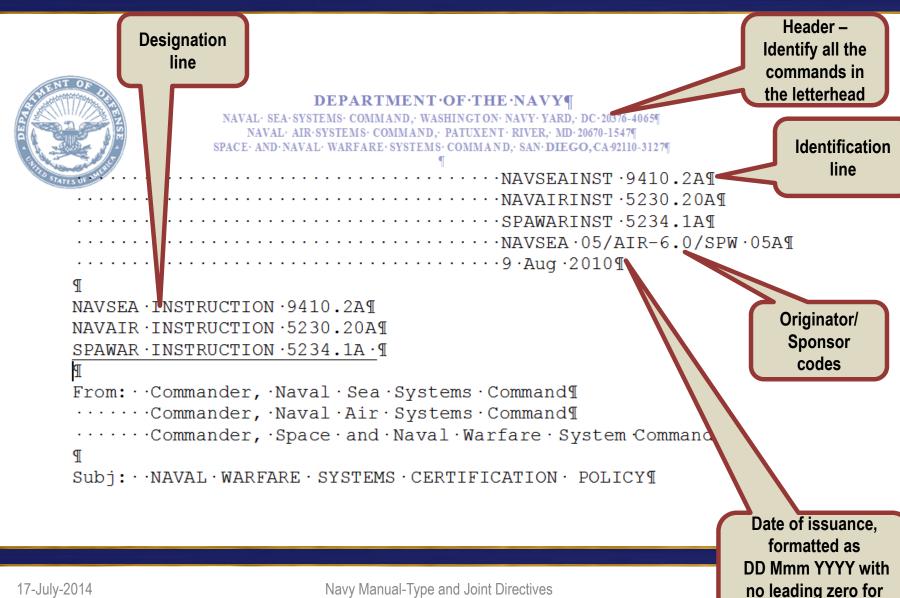


NAVSEAINST '9410.2A¶
NAVAIRINST '5230.20A¶
SPAWARINST '5234.1A¶
NAVSEA '05/AIR-6.0/SPW '05A¶
9 'Aug '2010¶

When, in either the directive designation or the designation abbreviation, it is necessary to break one
of the items, the second line is indented two spaces. There is only one underscore for the directive
designations, extended the length of the longest line.



Intra-Navy Directive Example 1



the day



Intra-Navy Directives

2) Assignment of a composite single identification, using the abbreviated identification of each component in the identification and designation lines, separated by a diagonal line with one subject identification number, including consecutive point number, where applicable.

Designation Line



BUPERS/BUMED INSTRUCTION 1306.72H

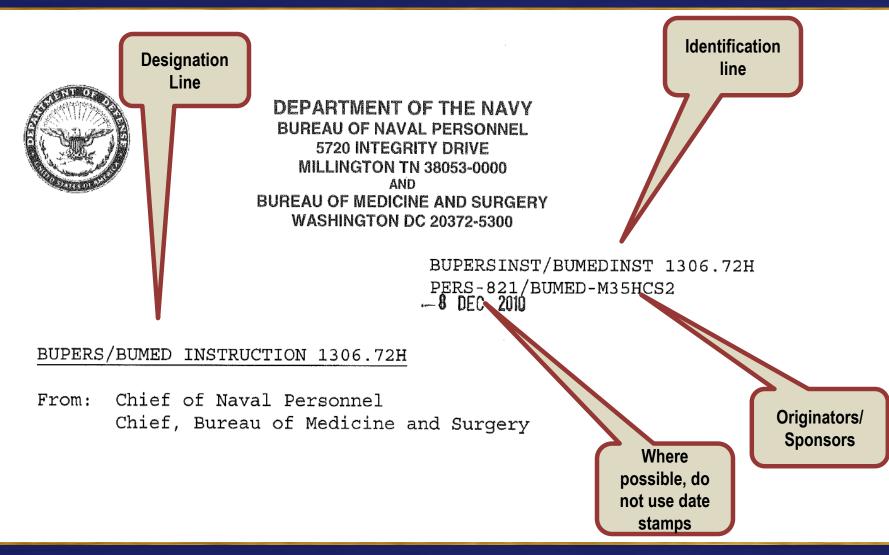
Identification Line



BUPERS/BUMEDINST 1306.72H PERS-821/BUMED-M35HCS2 8 Dec 2010



Intra-Navy Directives Example 2





Intra-Navy Directives Issuing Authorities

- Issuing authorities are cautioned against use of the joint directive, except when essential to obtain necessary jurisdictional coverage.
- This is particularly relevant when additional duty or "doublehatted" staffing exists.
- In all types of cases, consideration should be given to a regular issuance by the next higher common superior.



Intra-Navy Directives Signature Authority

Signature Block

The signature and related information (i.e., name and title) is in the order of the highest to lowest seniority

- First co-signer/highest authority signature is flushed with the right margin
- Second co-signer signature begins at the left margin
- Third co-signer/lowest authority signature is as at the center

If a joint directive is issued by a "double-hatted" official, only one signature is required, located at the same place as on directives from the chief official of a single command.



Intra-Navy Directives Signature Authority

```
······NAVSEAINST ·9410.2A¶
·····(2) ·Generate · the ·WSCP · and · execute · processes · against ·
the plan¶
······(3) ·Generate · naval ·messages · related · to ·the ·warfare ·
systems installation and certification events; and, ¶
·····(4) ·Act · as · the · warfare · systems · certification · official ·
a designated in writing by the warfare systems certification
authority · (NAVSEA · 00) . ¶
8. ··Review · Responsibilities · and · Policy · Updates¶
····a. ··NAVSEA · 05 · is · responsible · for · the · implementation · and ·
annual review of this policy - machinel reuthorities provide.
technical expertise and
                                                  tions to the
                              Third co-signer,
warfare ·systems · certific
                                 the lowest
····b. ··Warfare · systems ·
                                                   assurance ·
                                 authority
criteria will be added
                                                  f·the·policy·upon·
                              signature is at
matriculation · of ·an ·appı
                                                  trategy·which·can¶
be objectively assessed.
                                                  ne · Corps · System ·
                                 the center
Command · will · be · briefed
                                                  potential.
                                    ms · on · surface · ships · for · the ·
inclusion of their warfare
next · planned · policy · update . 9
A. B. JOE · · · · · D. Joe ¶
Commander, ·Space · · · · · · · Commander, ·Naval · · · · · · · Commander, ·Naval ¶
And Naval Warfare · · · · · · · Sea · Systems · · · · · · · · · · · · · · · · Air · Systems ¶
```

17-July-2014

Second co-

signer, the

signature

begins at the

left margin

Command · · · · · · · · Command · · · · · · · · Command ¶

First co-

signer/highest

authority flush to

the right margin



Inter-Service Joint Directives

- When a directive written jointly by the Navy in conjunction with another branch or branches of the Military Services, it is known as an inter-Service joint directive
- An inter-Service directive shall carry the authorized abbreviation of the cognizant Navy component in the same manner as other directives issued by that component



Inter-Service Changes and Supplement

Changes:

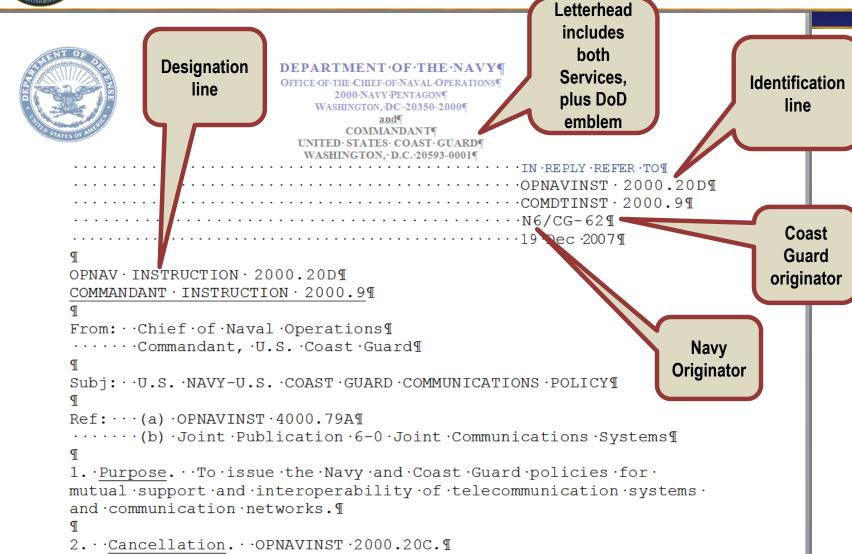
When the text of a joint directive is to be changed, coordination with the other issuing authorities must be effected before the change is made. Such changes are issued in the same manner as other letter-type directives.

• Supplement:

A supplement may be issued to a joint inter-Service directive to provide information or procedures specific to components of the Department of the Navy, provided it does not alter the terms of the basic joint directive.



Inter-Service Example 1 – Navy Cog





Only for

Navy

Inter-Service Example 1 (signature)

```
·····(4) ·Maritime · Domain · Awareness · missions · and · functions, ·
as .well · as · to · enhance · situational · awareness · and · intelligence ·
sharing · between · the · Navy · and · the · Coast · Guard . ¶
······(5) ·Designate · Coast · Guard · activities · as · CMS · holders, ·
assign ·Navy · CMS · account · numbers, · and · provide · CMS · and ·
{f c}ommunications \cdottactical \cdotpublications \cdot for \cdot the \cdotCoast \cdotGuard.\P
6. Records Management. ··For Navy only, records created as a result of ·
this instruction, regardless of media and format, shall be managed per
Secretary of the Navy Manual 5210.1 of January 2012. ¶
Navy
U.S. · Coast · Guard · · · · · · · · · · · Deputy · Chief · of · Naval · Operations ¶
Chief · of · Staff · · · · · · · · · · · (Communication · Networks) ¶
                                                                                 Signature
Distribution: ¶
Electronic only, via: ¶
Department of the Navy Issuances Web site: ...
http://doni.documentservices.dla.mil¶
Coast · Guard · CG-612 · Directives · and · Publication · Division · Web · site: · ·
http://www.uscq.mil/directives 1
```

Coast

Guard

Signature





Inter-Service Example 2 – Army Cog

Date of issuance Headquarters Departments of the Army, the Navy, the Air Force. the Marine Corps, and the Coast Guard Washington, DC 23 March 2007

*Army Regulation 601-270 *OPNAVINST 1100.4C *AFI 36-2003 (I) *MCO 1100.75D *COMDTINST M 1100.2E

Effective 4 April 2007

Personnel Procurement

Military Entrance Processing Station (MEPS)

By Order of the Secretary of the Army, Navy, Marine Corps, Air Force and Coast Guard:

PETER J. SCHOOMAKER General, United States Army Chief of Staff

J.C. HARVEY, JR. Vice Admiral, U.S. Navv Deputy Chief of Naval Operations (Manpower, Personnel, Training and Education)

AS Col

ROGER A. BRAD Lieutenant General, USAF DCS, Manpower and Personne

JOYCE E. MORROW

Administrative Assistant to the

Secretary of the Army

RONALD S. COLEMAN Lieutenant General, U.S. Marine Corps Deputy Commandant, Manpower & Reserve Affairs Headquarters, U.S. Marine Corps

RONALD J. RÁBAGO

Rear Admiral, U.S. Coast Guard Director of Personnel Management

Issuing authorities/ signature block

History. This publication is a major

Summary. This regulation covers military entrance processing station operational policies, programs, and procedures. It implements DOD Directive 1145.2 governing personnel enlisting in the military and the processing of Selective Service registrants.

Applicability. This regulation applies to the Active Army, the Army National Guard/Army National Guard of the United States, the U.S. Army Reserve, the Navy, the Air Force, the Marine Corps, and the Coast Guard, unless otherwise stated. This regulation applies to all personnel who operate unit mail rooms at company and battalion levels. During mobilization, procedures in this publication can be modified to support policy changes as

Proponent and exception authority. The proponent of this regulation is the Deputy Chief of Staff, G-1. The Deputy Chief of Staff, G-1 has the authority to approve exceptions or waivers to this regulation that are consistent with controlling

law and regulations. The proponent may delegate this approval authority, in writing, to a division chief with the proponent agency or its direct reporting unit or field operating agency, in the grade of colonel or the civilian equivalent. Activities may request a waiver to this regulation by providing justification that includes a full analysis of the expected benefits and must include formal review by the activity's senior legal officer. All waiver requests will be endorsed by the commander or senior leader of the requesting activity and forwarded through their higher headquarters to the policy proponent. Refer to AR 25-30 for specific guidance.

Army management control process. This regulation contains management control provisions according to AR 11-2 and contains a checklist for conducting management control reviews (see appendix F).

Supplementation. Supplementation of this regulation and establishment of command and local forms are prohibited without prior approval from the Deputy Chief of Staff, G-1, ATTN: (HQDA-MPA), The Department of the Army Committee

300 Army Pentagon, Washington, DC 20310-0300.

Suggested improvements. Users are invited to send comments and suggested improvements on DA Form 2028 (Recommended Changes to Publications and Blank Forms) directly to Deputy Chief of Staff, G-1 (DAPE-MPA), 300 Army Pentagon, Washington, DC 20310-0300. Naval personnel will provide their comments to Navy Recruiting Command, Operations Department (N35), Millington, TN 38054. Marines will send their comments to Commandant (MRRP), Headquarters, U. S. Marine Navy Annex, Washington, 2 Navy Annex, Washington, DC 20380-1775. Air Force personnel will send their comments to HQ, USAF/ DPXET, 1040 Air Force Pentagon, Washington, D.C. 20330-1040. Coast Guard will forward their comments to Commanding Officer, United States Coast Guard, 2300 Wilson Blvd., Suite 500, Arlington, VA 22201.

Committee Continuance Approval.

Subject of the issuance

Identification

line of all the

applicable

Services'

issuances



Ir

Inter-Service Example 3 - Air Force Cog

Identification line of all the applicable Services' issuances

BY ORDER OF THE SECRETARY OF THE AIR FORCE, ARMY, AND NAVY AIR FORCE INSTRUCTION INTERSERVICE PUBLICATION 11-208 ARMY REGULATION 95-10 OPNAVINST 3721.20D



Date of issuance

3 JUNE 2011

Flight Operations

DEPARTMENT OF DEFENSE NOTICE TO AIRMEN (NOTAM) SYSTEM

COMPLIANCE WITH THIS PUBLICATION IS MANDATORY

ACCESSIBILITY: This publication is available digitally on the AFDPO WWW site at:

http://www.e-publishing.af.mil.

RELEASABILITY: There are no releasability restrictions on this publication.

OPR: HQ AF/A3O-BN Certified by: HQ AF/A3/5

(Mr. Harry C. Disbrow, SES)

Supersedes: AFI11-208(I)/AR 95- Pages: 27

10/OPNAVINST 3721.20C.

1 August 2004

the issuance

Subject of